



1251 Waterfront Place, Suite 510
Pittsburgh, PA 15222
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Service Fee Schedule

Includes National Financial Services, LLC (NFS) fees

Item	When Assessed	Fee
ALTERNATIVE INVESTMENT (AI) TRANSACTION	For the purchase, redemption or transfer of an AI in or to an NFS brokerage account	\$50.00 per transaction
CHECK COPY	When a copy of a check is requested	\$7.50 per copy
CONFIRM COPY	When a copy of a confirm is requested	\$7.50 per copy
EXPRESS MAIL	When an overnight delivery is requested	\$20.00 per shipment
EXTENSION	When a regulatory trade extension filing is required due to late payment/security deposit	\$30.00 per request
FOREIGN SECURITY SURCHARGE (NOT ADRs)	When a foreign security is purchased or sold	\$75.00 per transaction
INTERESTED PARTY CONFIRM	When a physical confirm is issued/mailed to an interested party	\$1.50 per confirm
INTERESTED PARTY MONTHLY STATEMENT	When a physical statement is issued/mailed to an interested party	\$2.95 per statement
IRS FORM 990-T TAX RETURN FILING	When NFS/FMTC is required to file Form 990-T in order to report Unrelated Business Taxable Income (UBTI) of \$1,000 or more on MLPs and/or LPs held in a Premiere Select Retirement account	\$300.00 filing fee per account
LEGAL RETURN	When a physical stock certificate, not in good order after 45 days, is returned	\$100.00 per item
LEGAL TRANSFER	When a physical stock certificate deposit requires supporting documentation other than a stock power	\$150.00 per position
MAILGRAM	When notification is sent of a trade related obligation or margin deficiency	\$25.00 per item
MUTUAL FUND TRANSACTION SURCHARGE	On purchases of certain mutual funds not participating in the NFS NTF program.	\$10.00 per transaction
NON-TRANSFERABLE POSITION	Monthly for a non-transferable security position held in an account	\$15.00 per position

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NON-REGISTERED ALTERNATIVE INVESTMENT ANNUAL FEE	For the custody and valuation of a non-registered AI (maximum \$500 per account per year)	\$125.00 per position
OUTGOING FULL ACCOUNT TRANSFER	For a full account transfer to another financial institution	\$95.00 per transfer
PHYSICAL REORGANIZATION	When a physical stock certificate is deposited to process a reorganization	\$150.00 per item
PRECIOUS METALS STORAGE FEE	Quarterly on assessed value. Minimum fee \$3.75	0.125%
PREMIER ACCESS ACCOUNT ANNUAL FEE	On the anniversary date of the initial opening, or upgrade to a Premier Access account.	\$100.00 per year
PREMIERE SELECT RETIREMENT ACCOUNT ANNUAL FEE	For the maintenance of a Premiere Select Retirement account	\$35.00 per account
PREMIERE SELECT RETIREMENT ACCOUNT TERMINATION	Upon the closure of a Premiere Select Retirement account	\$125.00 per account
RECORDKEEPING AND CUSTODY SERVICES (INACTIVITY FEE) ANNUAL FEE	On an account with no trading activity or margin interest during a calendar year	\$25.00 per account
REGISTERED ALTERNATIVE INVESTMENT ANNUAL FEE	For the custody and valuation of a registered AI (maximum \$500 per account per year)	\$35.00 per position
RESTRICTED STOCK TRANSACTION	Full Service (coordinate legal review and open window for sale)	\$175.00 per transfer
RESTRICTED STOCK TRANSACTION	Selling without authorization (in addition to Full Service Restricted Stock Transaction Fee)	\$75.00 per transfer
RETURNED DEPOSITED CHECK	When a deposited check is returned unpaid by the bank on which it was drawn	\$25.00 per item
SAFEKEEPING	When a physical stock certificate is held in safekeeping	\$15.00 per certificate per month

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SELECT ACCESS ACCOUNT ANNUAL FEES	On the anniversary date of the initial opening, or upgrade/downgrade to a Select Access account	<u>\$10.00 per year:</u> ACH direct deposit or debit* Bill Pay <u>\$5.00 per year:</u> Checking <u>\$5.00 per year:</u> Visa debit card *ACH direct deposit and debit required to add additional features such as checking and debit card
STATEMENT COPY	When a copy of a monthly statement is requested	\$10.00 per statement
STOP PAYMENT	Upon receipt of notification to stop an outgoing check	\$25.00 per item
TRADE SERVICE	Upon trade execution	\$3.95 per trade
TRANSFER and SHIP - DIRECT REGISTRATION SYSTEM (DRS) ELIGIBLE	When re-registering a security directly with the transfer agent and a DRS statement is issued	\$25.00 per request
TRANSFER and SHIP- NON-DIRECT REGISTRATION SYSTEM (NON-DRS) ELIGIBLE	When a physical stock certificate is requested or a security is non-DRS eligible	\$500.00 per request
WIRE TRANSFER	For outgoing Fed wire	\$20.00 per wire

FEES ARE SUBJECT TO CHANGE AND MAY NOT INCLUDE ALL FEES CHARGED BY NFS